

Titan Global Capital Management USA, LLC (“Titan”)
Solicitation Acknowledgment By Referred New Client
October 26, 2021

Under Titan’s client referral program (“Titan Referral Program”), Titan reserves the right to (1) waive your Advisory Fee for 100 days (the “100 Day Free Trial”) and (2) issue one Titan portfolio of random value (the “Referral Reward”) to your referring client.

You have received an authorized invitation from a referring client who recommended Titan to you, and we wanted you to know how the Titan Referral Program works.

A referring client must sign the Titan Referral Program Agreement and comply with its terms and conditions, in accordance with Rule 206(4)-1 under the Investment Advisers Act of 1940 applicable to “Cash payments for client solicitations.” Your referring client may be an affiliate or employee of Titan.

Whether or not you and your referring client can participate in the Referral Program is dependent on (1) you having a \$100 qualifying minimum deposit invested in your referred account, provided that your account remains open and funded for at least 180 days (“Qualified New Client”) and (2) your referring client having a taxable Titan account and having funded a Titan account (either taxable or retirement) with a \$100 qualifying minimum deposit. Qualifying referrals made under the Titan Referral Program are known as Eligible Referrals. You can accept a referral from a referring client only by using their unique referral link.

Recipients of Titan’s 100 Day Free Trial will only receive a waiver of Titan’s Advisory Fee for the first 100 days following their first deposit into Titan, and may be subject to ancillary fees as outlined on Titan’s Fee Schedule. Additionally, recipients who receive the 100 Day Free Trial may be subject to federal, state, and local income taxes, as well as capital gains taxes. For a full description of Titan’s Advisory Fee and ancillary fee schedule, please visit Titan’s Fee Schedule at https://cdn.titanvest.com/disclosures/Titan_Fee_Schedule.pdf.

Under the Titan Referral Program, Titan reserves the right to issue one Titan portfolio of random value (the “Referral Reward”) to your referring client for each Eligible Referral. Additionally, as a part of the Titan Referral Program, if the client who referred you invites two Qualified New Clients, they will receive access to all Titan investment strategies and services that otherwise would be reserved for clients with \$10,000 or more in deposits.

Hence, the client who referred you had an incentive to recommend Titan’s services to you because s/he will receive benefits for soliciting you. The terms and conditions of the Titan Referral Program are subject to change at any time and at Titan’s sole discretion. More details around the Referral Reward are contained below.

If your referring client has recorded one (1) Eligible Referral, their Referral Reward will consist of one Titan portfolio of a random value based on the following odds: portfolio between \$25-\$50, approximately 1 in 1.124; portfolio between \$51-\$100, approximately 1 in 10; portfolio

between \$101-\$500, approximately 1 in 100; portfolio between \$501-\$1,000, approximately 1 in 5,000; portfolio between \$1,001-\$5,000, approximately 1 in 10,000; portfolio between \$5,001-\$10,000, approximately 1 in 15,000.

If your referring client has recorded two (2) or more Eligible Referrals, or has completed a referral within the Bonus Period, the Referral Reward will consist of one Titan portfolio of a random value based on the following odds: portfolio between \$25-\$50, approximately 1 in 1.124; portfolio between \$51-\$100, approximately 1 in 10; portfolio between \$101-\$500, approximately 1 in 100; portfolio between \$501-\$1,000, approximately 1 in 5,000; portfolio between \$1,001-\$5,000, approximately 1 in 10,000; portfolio between \$5,001-\$20,000, approximately 1 in 15,000. The Bonus Period is defined as the first thirty (30) days following the referring client's first deposit into Titan.

Each individual Referral Reward outcome will be randomly generated at the time of referral completion according to the above odds. Referral Reward outcomes will be randomly generated via a programmatic random number generation function. Within each Referral Reward range (for instance, \$51-\$100), Referral Reward values will be incremented in whole numbers, with each whole number within the range receiving an equal probability of selection.

You can read more about the Titan Referral Program in our Solicitation Agreement with existing clients at <https://titan.com/legal> before you open your account and accept said referral.